



Empowered lives.
Resilient nations.

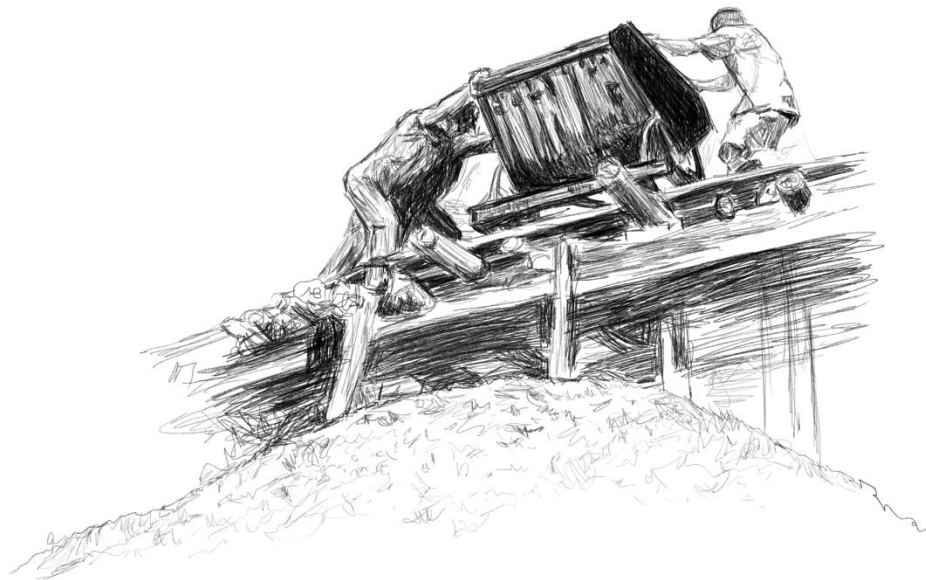
Capacity building of mineral institutions and of small-scale private sector operating in low- value minerals in ACP countries

Contribution Agreement FED/2014/346-851

Action funded by the European Union (EU) and the United Nations Development Programme (UNDP)

Annual Report 2015

Reporting Period: 21/10/2014 – 31/12/2015



An initiative by the African, Caribbean, and Pacific (ACP) Group of States, coordinated by the ACP Secretariat, financed by the European Union (EU) and United Nations Development Programme (UNDP), and implemented by UNDP.



Empowered lives.
Resilient nations.

SECTION I: INTRODUCTION	4
I.A PROJECT SUMMARY	4
I.B BRIEF DESCRIPTION OF ACTION	5
I.C COUNTRIES COVERED BY THE PROJECT	6
SECTION II: THE STRATEGY	7
II.A RATIONALE OF THE ACTION	7
II.B PROJECT OBJECTIVES	8
II.C THE CAPACITY DEVELOPMENT APPROACH	9
1. Return-to-work projects	9
II.D PARTNERSHIPS	10
II.E OUTPUTS AND ACTIVITIES: SUMMARY OF 2015 ACHIEVEMENTS	11
II.F PROGRAMME IMPLEMENTATION	14
1. Country selection.....	15
2. Inception workshop.....	17
3. Sector capacity assessments and capacity development roadmaps.....	19
4. Participant selection for workshops and training.....	20
5. Training and coaching.....	21
6. Country-level initiatives for sector promotion	28
7. Final Workshop.....	28
SECTION III: MANAGEMENT ARRANGEMENTS	29
III.A PROGRAMME STEERING COMMITTEE	29
III.B TECHNICAL COMMITTEE	30
III.C UNDP PROGRAMME MANAGEMENT TEAM	31
III.D MONITORING AND EVALUATION	31
1. Results and Resources Framework.....	31
2. Demand for training and other programme activities.....	42
3. Return to Work Plans.....	42
III.E COMMUNICATION AND VISIBILITY.....	43
1. Communication and visibility plan	43
2. Visibility at regional training events	43



3.	Newsletter	45
4.	Website.....	45
5.	Outreach events	45
6.	Policy influence.....	47
III.F	RESPONDING TO IMPLEMENTATION CHALLENGES.....	47
SECTION V: FINANCIAL STATEMENTS.....		55

SECTION VI: ANNEXES

- A. Inception Workshop Report
- B. Steering Committee Meeting Minutes – March 2015
- C. Steering Committee Meeting Minutes – December 2015
- D. Final report on the selection of the programme focus countries
- E. Request For Applications (RFA) – Pacific Regional Training Workshop on Environment, Community, Health and Safety
- F. Participant List – Geoscience Information in Africa Workshop, Maputo, Mozambique, 6-9 October, 2015
- G. Participant List – Regional Sharefair on Gender Equality in the Extractive Industry, Nairobi, Kenya, 13-15 October, 2015
- H. Participant List – East Africa Regional Training Workshop on Environment, Community, Health and Safety, Addis Ababa, 9-12 November, 2015
- I. Participant List – Pacific Regional Training Workshop on Environment, Community, Health and Safety, Suva, 1-4 December, 2015
- J. Draft TORs for Technical Committee
- K. Draft TORs for 6 Country Coordinators
- L. Communication and Visibility Plan
- M. Examples of online visibility of the project
- N. Outcome document of the Regional Sharefair on Gender Equality in the Extractive Industries



SECTION I: INTRODUCTION

I.A PROJECT SUMMARY

Title:	Capacity development of mineral institutions and of small-scale private sector operating in low-value minerals in ACP countries
Expected Outcomes:	<ol style="list-style-type: none"> 1. The small-scale operators in African, Caribbean and Pacific (ACP) countries operating in the Low Value Minerals and Materials (LVMM) sector have the technical and entrepreneurial capacity to enhance productivity. 2. Industry associations/chambers in ACP countries that represent small-scale private operators in the LVMM sector have capacities to fully support miners and provide them with services. 3. Public institutions in ACP countries in charge of the mining sector and mineral policies have capacities to regulate and create an enabling environment for small-scale private operators and their representatives. 4. Civil society, communities and indigenous peoples have the knowledge to ensure that the mining sector meets the economic, social and environmental standards and violence conflict is prevented. 5. ACP countries benefit from exchange of knowledge and experiences through South-South learning and cooperation.
Expected Outputs:	<ol style="list-style-type: none"> 1. Operating standards and technologies improved in mines and quarries through enhanced technical knowledge of the small-scale private sector, associations/chambers, and public institutions in mine and quarry management. 2. Environmental, health, safety standards improved and human and labor rights better protected in artisanal and small-scale mining through enhanced technical and legal knowledge of the small-scale private sector, associations/chambers and public institutions. 3. Productivity, access to markets and working capital of the small-scale private sector in mining increased through enhanced entrepreneurial skills. 4. Value chains in mining strengthened through networking and improved capacity of associations/chambers and public institutions to conduct market analysis and investment promotion. 5. Access to geo-data in mining improved through enhanced technical knowledge, capacity and coordination among public institutions. 6. Risk of conflict between miners, mining enterprises and local communities reduced by building conflict prevention, dialogue and mediation skills of miners, associations/chambers, public institutions and communities.
Funding Value	€13.1 million (€11.7 EU Contribution / €1.4 UNDP Contribution)
Contract Period	October 2014 – October 2017



I.B BRIEF DESCRIPTION OF ACTION

The **ACP-EU Development Minerals Programme**¹ is a three-year, €13.1 million initiative of the ACP Group of States, coordinated by the ACP Secretariat, financed by the European Commission and United Nations Development Programme (UNDP) and implemented by UNDP. The aim of the project is to foster the sustainable and inclusive development of the Low Value Minerals and Materials (LVMM) industry in Africa, the Caribbean and the Pacific.

The term LVMM refers to industrial minerals (e.g. gypsum, potash, salt), construction materials (e.g. gravel, sand, clay and limestone), dimension stones (e.g. marble and granite), and semi-precious stones (e.g. garnet, opal and topaz). These minerals provide crucial inputs to domestic economic development.

This initiative aims to help the sector to contribute towards the ACP Framework of Action on the Development of Mineral Resources Sector,² endorsed by the ACP Committee of Ambassadors in 2011, as well the ambitious United Nations Sustainable Development Goals (SDGs). The initiative also aims at supporting the development of a competitive local private sector operating low-value minerals in ACP countries, in line with the EU communication, *Increasing the Impact of EU Development Policy: An Agenda for Change*³ whose primary objective is to significantly increase the impact and effectiveness of EU development policy.

The main focus of the programme is on building the capacity of key stakeholders in the sector, including public stakeholders such as regulatory agencies and local governments; private stakeholders such as small-scale mining enterprises, intermediaries, transportation and logistics service providers, construction companies; business development stakeholders such as mining and quarrying associations, chambers of mines, training centres, universities, consulting companies; and social stakeholders such as civil society organizations and community groups. The programme will enhance the capacities of these entities to: increase the sector's productivity; better manage mining operations; adhere to national and international environmental and health standards; and prevent conflict through effective community relations. Training and support will be provided in the following key thematic areas of significant importance to the sector: 1) mine and quarry management; 2) environment, health and safety; 3) entrepreneurship skills; 4) market analysis and investment promotion; 5) geo-data and maps design; 6) community relations and addressing grievances.

¹ The **ACP-EU Development Minerals Programme** is the short title of the project agreed upon by the Programme Steering Committee (EU, ACP Secretariat and UNDP) in November 2015. This short title will be used through-out the report in reference to the project.

² Decision No.3/XCIII/11 of the 93rd session of the ACP Council of Ministers held in Brussels, Belgium, from 26th to 29th May 2011 and endorsed by the ACP Committee of Ambassadors in October 2011. <http://register.consilium.europa.eu/doc/srv?!=EN&f=ST%202117%202011%20INIT>

³ https://ec.europa.eu/europeaid/policies/european-development-policy/agenda-change_en



I.C COUNTRIES COVERED BY THE PROJECT

This project is under implementation at both the regional and country levels. (Information on the country selection process is found on section II.F.1 of this report).

Regional-level activities:

40 countries are slated to participate in training activities at the regional level according to the following breakdown:

Africa – 28 countries

Angola, Benin, Burkina Faso, Cameroon, Cote d'Ivoire, Democratic Republic of Congo, Ethiopia, Gabon, Ghana, Guinea – Conakry, Kenya, Lesotho, Madagascar, Malawi, Mali, Mozambique, Namibia, Niger, Nigeria, Republic of Congo, Rwanda, Senegal, Sierra Leone, Tanzania, Togo, Uganda, Zambia, Zimbabwe.

Caribbean – 6 countries

Dominican Republic, Guyana, Haiti, Jamaica, Suriname, Trinidad and Tobago.

Pacific – 6 countries

Fiji, Papua New Guinea, Samoa, Solomon Islands, Tonga, Vanuatu.

Table 1: Countries participating in regional-level activities according to ACP region

Central Africa	East Africa	Southern Africa	West Africa	Caribbean	Pacific
Cameroon Congo Republic Democratic Republic of Congo Gabon Rwanda	Ethiopia Kenya Madagascar Tanzania Uganda	Angola Lesotho Malawi Mozambique Namibia Zambia Zimbabwe	Benin Burkina Faso Cote d'Ivoire Ghana Guinea Conakry Mali Niger Nigeria Senegal Sierra Leone Togo	Dominican Republic Guyana Haiti Jamaica Suriname Trinidad and Tobago	Fiji Papua New Guinea Samoa Solomon Islands Tonga Vanuatu

Regional-level activities comprise of:

1. Training incorporating field visits (and preparation of return-to-work plans)
2. Knowledge platform
3. Knowledge products
4. Final conference

Country-level activities:

Six countries were selected for detailed programming following a competitive Expression of Interest (EOI) process (see section II.F.1 for more details). One country was selected from each of the six broad geographic regions of the ACP: Cameroon (Central Africa); Uganda (East Africa); Zambia (Southern Africa); Guinea – Conakry (West Africa); Jamaica (Caribbean); and Fiji (Pacific).

Activities and support at the country-level include:

1. Training of trainers workshops
2. Sector assessments and capacity development roadmaps
3. Initiatives for sector promotion such as technology exhibitions, business fairs, investor guides, networking events, geo-data inventories
4. Value-chain analyses
5. Engaging communities to address grievances through community dialogues
6. Public and private dialogues with key stakeholders
7. Small grants to implement return to work plans
8. Reviews of legal frameworks

SECTION II: THE STRATEGY

II.A RATIONALE OF THE ACTION

A Framework of Action (FWA) on the Development of Mineral Resources Sector was endorsed by the ACP Group of States through its Committee of Ambassadors in October 2011 in accordance with the mandate given to the ACP Council of Ministers. This framework includes 6 strategic focus areas that informed the formulation of the proposed activities of this programme, namely:

- Strategic focus 1: Enhancement of the capacity of public mineral institutions in charge of elaborating, monitoring, evaluating and updating national/regional strategies for the mineral resources industry development;
- Strategic focus 2: Development of mineral exploration, geo-scientific information systems and evaluation of the mineral potential of ACP countries;
- Strategic focus 3: Development of the small and medium-scale mining sectors;
- Strategic focus 4: Reduction of the social and environmental impacts of mining;
- Strategic focus 5: Improvement of energy and transport infrastructures related to the mineral industry;
- Strategic focus 6: Enhancement of mineral-based industrialisation and diversification of ACP countries' economies.



The Programme addresses five of the six stated goals of the FWA. The initiative also aims at supporting the development of a competitive local private sector operating in low-value minerals in ACP countries, in line with the EU communication "*Increasing the impact of EU Development Policy: an Agenda for Change*". The primary objective of the Agenda for Change is to significantly increase the impact and effectiveness of EU development policy. Additionally, the African Heads of State and Governments also adopted the Africa Mining Vision (AMV) in 2009. The vision stresses, amongst others, the need to enhance Africa's bargaining power and to improve the capacity to manage mineral wealth. The vision also envisages a mining sector that harnesses the potential of Artisanal and Small-scale Mining (ASM) of various commodities to stimulate local and national entrepreneurship, improve livelihoods and advance integrated rural socio-economic development. The AMV further espouses the need to address the current lack of access to skills for both entrepreneurs and employees of new local enterprises, which hampers the numerous business, employment creation and poverty reduction opportunities the mining sector holds in the continent.

Furthermore, while building on the foundation laid by the Millennium Development Goals (MDGs), the recently adopted SDGs were crafted to complete the unfinished business of the MDGs and aimed at ensuring that no one is left behind. For the ACP regions, Goal 9 is particularly pertinent as it addresses the priorities established by governments and institutions to – “Build resilient infrastructure, promote inclusive and sustainable industrialization and foster innovation”. The 8 targets under this goal, directly speak to the value proposition of the **ACP-EU Development Minerals Programme** –as they elaborate on the demand and supply side drivers of development minerals⁴.

II.B PROJECT OBJECTIVES

Overall objective: Foster the sustainable and inclusive development of the mineral resources industry in ACP countries.

Specific objectives:

1. Enhancing employment and incomes, including employment and incomes of women
2. Improving the policy and regulatory environment
3. Minimizing environmental impacts on communities
4. Addressing individual and community rights and preventing conflict
5. Ensuring decent working conditions
6. Facilitating South-South cooperation and cross-country learning

⁴ <http://www.un.org/sustainabledevelopment/infrastructure-industrialization/>



II.C THE CAPACITY DEVELOPMENT APPROACH

The programme is using a systematic and participatory approach to capacity development, drawing from UNDP's methodology and experience of supporting efforts to develop national and local capacities in various sectors and settings. This capacity development approach goes beyond training of individuals to enhance their knowledge and skills. It extends to facilitating wider organizational and institutional change to enable the application of these newly acquired skills for better and sustainable results at the three levels:

- a) **Individual level** where capacity is developed through regional training and workshop events that include coaching and mentorship, site visits and demonstrations;
- b) **Organization level** where capacity development facilitation happens through the provision of technical support; small grants; sector assessments (e.g. social and environmental, market analysis); and establishing dialogue processes for grievance management and resolution of conflicts.
- c) **Improving the enabling environment** which involves sector-wide, system capacity development through actions to improve policy, legal and regulatory frameworks demonstrated through the development of return to work projects from training/workshop participants aimed at institutionalization of collective actions.

With this backdrop, the programme is building the capacity of a wide-array of key stakeholders as follows:

- a) **Public stakeholders:** Relevant ministries and government agencies - particularly those that regulate and promote minerals exploration and exploitation, environmental and safety standards and financial sectors. Local government authorities involved closely in the management and oversight of small-scale mining and quarrying are also targeted as participants.
- b) **Private stakeholders:** small-scale mining and quarrying enterprises, intermediaries, transportation and logistics service providers, construction companies.
- c) **Business development stakeholders:** Mining and quarrying associations (including women's mining associations); chambers of mines; training centres; universities and research institutions, and consulting companies.
- d) **Communities and social stakeholders:** Local community representatives; civil society, advocacy groups, and non-state actors.

1. Return-to-work projects

Return to Work Projects (RWPs) are a valuable mechanism for training workshop participants to enhance their personal and professional development. As part of programme sponsorship, participants are required to develop a return-to-work plan on a project that they will undertake on their return to work, applying the knowledge and skills gained from workshop to influence change. In-training sessions are devoted to the development of RWP's and participants are encouraged to network and collaborate with other trainees to capitalize on synergies and motivate completion of the plan. Technical support is also available from the



Programme Team in the implementation of the plan. The outline of the plan is due within two weeks of the training event. Periodic follow-up on the progress of implementation of the project is undertaken by UNDP, with the first update expected at the 2-month mark. A subsequent follow-up at 4 months and periodic follow-ups thereafter. The table below outlines the expectations of the return-to-work plan.

Table 2: Expectations of the return-to-work plan completed by all programme training participants

Name(s) and Personal Details:
Brief Description of the project:
Expected Outcomes:
Expected Outputs:
Please describe how you plan to implement the return to work project: (outline key partnerships and collaborations across sectors in your country as well as any joint collaboration with other countries)
What indicators of success will you employ? (include indicators of success that go beyond activity-level implementation)
What other strategic opportunities have you identified that will contribute to the success and sustainability of your project? (include opportunities linked to national level policy, strategies and programmes as well as linkage to sub-regional and regional agenda)
What aspects of the training will be most useful in implementing your project? Explain
What are your future plans? (include any additional capacity building needs for your professional development that you have identified during the course of the workshop).

II.D PARTNERSHIPS

In this first year of project implementation, UNDP has strengthened collaboration with its established partnerships in addition to the formulation of new ones for joint implementation of activities. The partnerships include:

- a) **Partnerships with regional bodies and institutions in the ACP that have a convening role** aimed at organizing and hosting the regional training programmes. Partners include: African Union Commission (AUC), African Minerals Development Centre (AMDC), Geoscience Information in Africa Network (GIRAF), Organization of African Geological Surveys (OAGS) and the Secretariat of the Pacific Community (SPC).
- b) **Interagency partnerships with specialised UN agencies and institutions** for acquiring expertise to deliver training and host workshops at the regional and country levels. Partners include: UN Women’s East and Southern Africa Regional Office (ESARO) for the Regional Sharefair on Gender Equality in the Extractive Industry (October, 2015).
- c) **Partnerships with specialised institutions in the global North and South** for delivering training services at the regional and country levels through institutional agreements or advisory/consulting arrangements with individuals affiliated with these institutions. Partners include: the Department of Geology at Eduardo Mondlane University – Mozambique; African Minerals and Geosciences Centre (AMGC; formerly known as

the Southern and Eastern Africa Mineral Centre); the Secretariat of the Pacific Community; and The University of Queensland's Minerals Industry Safety and Health Centre and Centre for Social Responsibility in Mining.

II.E OUTPUTS AND ACTIVITIES: SUMMARY OF 2015 ACHIEVEMENTS

Key achievements at a glance⁵:

Five events (workshops and/or trainings) aligned to five of the six programme outputs were held in 2015, achieving the following indicators:

- Number of targeted stakeholders directly engaged = **180**
- Number of targeted stakeholders indirectly engaged = **520**
- Number of training person days = **576**
- Number of ACP countries represented at training/workshops = **37⁶**
- Number of countries with direct engagement of small-scale private operators = **12⁷**
- Number of small-scale private operators directly engaged = **22**
- Number of universities in the South and North engaged = **9⁸**
- Number of women's mining associations engaged = **5⁹**
- Number of partnerships with regional institutions of the ACP = **5¹⁰**

Table 3: Summary of key achievements (21 October, 2014 – 31 December, 2015)

<p>Project activities</p>	<ul style="list-style-type: none"> • Recruitment of UNDP Programme Team (Programme Manager – based in Brussels; Technical Specialist – Addis Ababa; Programme Specialist – Brussels; Operations Associate – Brussels). • Inception Workshop held at the headquarters of the ACP Secretariat in July 2015. The workshop was attended by more than 70 participants. (See Annex A for the Inception workshop report) • Background study was drafted and disseminated for public feedback (July – October 2015)
----------------------------------	--

⁵ A detailed description of the achievements is found under II.F. Programme Implementation – Section 5.

⁶ Angola, Burkina Faso, Burundi, Cameroon, Cote d'Ivoire, Democratic Republic of Congo, Dominican Republic, Ethiopia, Fiji, Ghana, Guinea Conakry, Guyana, Haiti, Jamaica, Kenya, Lesotho, Madagascar, Malawi, Mali, Mozambique, Namibia, Niger, Nigeria, Papua New Guinea, Republic of Congo, Rwanda, Samoa, Senegal, Solomon Islands, Tanzania, Togo, Tonga, Trinidad and Tobago, Uganda, Vanuatu, Zambia, Zimbabwe.

⁷ Ethiopia, Fiji, Kenya, Malawi, Mali, Mozambique, Nigeria, Tanzania, Uganda, and Zambia

⁸ Divine Word University – Papua New Guinea; Eduardo Mondlane University, Mozambique; University of Dar-es-Salaam, Tanzania; University of Ibadan, Nigeria; University of Papua New Guinea; University of Queensland, Australia; University of Technology – Papua New Guinea; University of Zambia; University of Zimbabwe.

⁹ Mali, Malawi, Mozambique, Tanzania, and Zambia

¹⁰ The African Union Commission (AUC), African Minerals Development Centre (AMDC), Geoscience Information in Africa Network (GIRAF), Organization of African Geological Surveys (OAGS), and the Secretariat of the Pacific Community (SPC).



- Nominations were accepted by the EU, ACP Secretariat and UNDP for focal points for the 40 participating countries engaged in regional level activities.
- 21 countries submitted EOI for the selection of the 6 focus countries, demonstrating wide-spread interest and relevance of the programme.
- 14 participants from geological surveys, universities and other related entities in Africa, were sponsored to attend the Geoscience Information in Africa (GIRAF) workshop in Maputo, Mozambique (October 2015).
- 12 female small-scale miners were sponsored to participate at the Regional Sharefair on Gender Equality in the Extractive Industry in Nairobi, Kenya (October 2015).
- The first Regional Training Workshop on *Environment, Community, Health and Safety* was held in Addis Ababa, Ethiopia (9-12 November 2015) with 39 participants drawn from Ethiopia, Kenya, Tanzania, Zambia and Uganda.
- A Regional Training Workshop on *Environment, Community, Health and Safety* was held in Suva, Fiji (01-04 December 2015) with 45 participants from Fiji, Papua New Guinea, Samoa, Solomon Islands, Tonga, and Vanuatu.
- 18 individual and 15 group return-to-work plans were developed and initiated by workshop/event participants (33 in total).
- 5 mining and quarry sites visited by training participants as part of training programmes.
- Partnerships initiated with: The African Union Commission (AUC), African Minerals Development Centre (AMDC), Geoscience Information in Africa Network (GIRAF), and the Secretariat of the Pacific Community (SPC).
- Technical experts engaged to deliver training have represented: Department of Geology at Eduardo Mondlane University – Mozambique; African Minerals and Geosciences Centre (AMGC); the Secretariat of the Pacific Community; Minerals Industry Safety and Health Centre at the University of Queensland; WWF-Pacific, African Union Commission; African Minerals Development Centre; United Nations Development Programme, African Regional Service Centre.
- Dignitaries invited to open training workshops held by the programme include:
Inception Workshop: Mr Viwanou Gnassounou, Assistant Secretary-General, African Caribbean and Pacific Secretariat; Mr Denis Salord, Head of Unit DEVCO/E3, Regional Programmes Sub-Saharan Africa and ACP wide; Ms. Barbara Pesce-Monteiro, Director, UNDP Brussels.



	<p><i>East Africa Regional Training Workshop on Environment, Community, Health and Safety:</i> Ambassador Gary Quince, Head of the EU Delegation to the African Union; Dr Emagna, Private Sector & Investment Expert, African Caribbean and Pacific Secretariat; H.E. Tolesa Shagi, Minister of Mines, Federal Democratic Republic of Ethiopia; H.E Ms. Fatima Haram Acyl, Commissioner, Trade and Industry, African Union Commission; Mr. Lebogang Motlana, Director, UNDP Regional Service Centre – Africa.</p> <p><i>Pacific Regional Training Workshop on Environment, Community, Health and Safety:</i> H. E. Mereseini Vuniwaqa, Minister for Lands and Mineral Resources, Fiji; Ambassador Andrew Jacobs, Head of the EU Delegation to the Pacific; Ms Osnat Lubrani, UNDP Resident Representative Fiji.</p> <p><i>Gender sharefair:</i> Ambassador Stefan Dejak, Head of the EU Delegation in Kenya; H.E Mr. Najib Balala, Cabinet Secretary for Mining – Kenya</p> <p><i>GIRAF conference:</i> Dr. Philipp Schauer, German Ambassador to Mozambique; Mr. Adriano Senvano, Director of the National Institute of Mines, Mozambique.</p>
Project oversight	<ul style="list-style-type: none"> ● 2 Project Steering Committee meetings held in March 2015 and December 2015. (See Annexes B and C for the meeting minutes) ● 8 tripartite (EC-ACP-UNDP) formal technical working group meetings held. ● Weekly progress meetings held by the UNDP project implementation team.
Visibility and knowledge management	<ul style="list-style-type: none"> ● Project short-name adopted: ACP-EU Development Minerals Programme. ● Communications and visibility plan drafted, reviewed and validated by the Programme Steering Committee. https://www.dropbox.com/s/4osfzvre02zx6kr/I_Communication%20and%20visibility%20strategy_Draft-rvsvd.docx?dl=0 ● Strategy on available knowledge networks and portals to be potentially utilised by the programme drafted. https://www.dropbox.com/s/5ehms51nv5pphjw/Knowledge%20networks%20and%20portals%20-background%20paper.docx?dl=0 ● 5 communications banners prepared to provide visibility of the programme at events. https://www.dropbox.com/s/hm2iyvrgh8vssh/50068_roll-up-version3-FINAL%20%5B1%5D.pdf?dl=0 ● Video introduction to the programme developed and streamed during regional training events. https://vimeo.com/153215198

	<ul style="list-style-type: none"> • 3 media/press releases prepared in collaboration with the ACP and EC and disseminated on the Inception workshop (July 2015); East Africa and Pacific Regional Training Workshops on Environment, Community, Health and Safety (November, 2015; December, 2015) https://www.dropbox.com/s/re6rw7zpgja2u1l/PR_Investing%20in%20low%20value%20minerals%20and%20materials%20for%20jobs%20boost_FINAL_EN.pdf?dl=0 • Social media presence established on Twitter and Facebook. (@DevelopmentMin) and Facebook (ACP – EU Development Minerals Programme) • 1 blog on the programme prepared and uploaded on UNDP and EU corporate websites. http://www.undp.org/content/brussels/en/home/presscenter/articles/2015/11/24/-blog-what-has-salt-got-to-do-with-development-.html • At least 17 programme mentions on various external websites. https://www.dropbox.com/s/7kkaqhypir2rlkj/Project%20mentions%20sheet.docx?dl=0 • Terms of reference for website design drafted. https://www.dropbox.com/s/60mvfdqtwl3som/Terms%20of%20Reference%20-%20Web%20Developer-Project%20Manager.docx?dl=0 • First newsletter distributed and template for ongoing monthly bulletin prepared (December 2015). • Participation/attendance at 6 global and regional events to promote visibility of the ACP-EU Development Minerals Programme and to contribute to international and regional dialogues.
Financial expenditure	In the reporting period the project has spent EUR 926,288.11 (EU 849,582.85; UNDP 83,922.60).

II.F PROGRAMME IMPLEMENTATION

This programme is under implementation at both the regional and country levels. Forty countries will participate in training activities at the regional level, while **six** countries have been selected for more in-depth support delivered at the country level – one each from the six regional sub-divisions of the ACP.

Activities and support at the country-level include: training and capacity building; small grants and partnership building to upgrade value chains; studies, sector capacity assessments, and capacity development roadmaps; organization of public-private dialogues to strengthen value chains; production of maps and databases; strengthening of regulations on environment, health and safety; organizing community engagement meetings and dialogues to address grievances; and organization of technology fairs and networking events.

1. Country selection

Selection of countries participating in regional-level activities

A combination of quantitative and qualitative criteria was used to prepare a shortlist of participating countries. The criteria included: poverty level, level of commercialization of LVMM sector, urban growth, the volume of LVMM production, regional balance, presence of UNDP's work in extractive industries, consultation with UNDP country offices, regional organizations, and governments. The criteria were shared with the Project Partners (ACP secretariat and EU) at the 1st Steering Committee Meeting in March 2015.

The ACP provided further suggestions after consultation with ACP member countries. A small reserve list was developed. The ACP Secretariat distributed a note verbal to invite countries to nominate a focal point to the programme (additional communication was sent to countries that did not nominate a focal point in the first instance). UNDP engaged with its country offices to make connections with Ministries in the selected countries and to prompt the nomination of focal points. A small number of non-responsive countries were replaced by reserve list countries at the 2nd Steering Committee Meeting in December 2015 and in early 2016.

Table 4: Countries participating in regional-level activities according to ACP region

Central Africa	East Africa	Southern Africa	West Africa	Caribbean	Pacific
Cameroon Congo Republic Democratic Republic of Congo Gabon Rwanda	Ethiopia Kenya Madagascar Tanzania Uganda	Angola Lesotho Malawi Mozambique Namibia Zambia Zimbabwe	Benin Burkina Faso Cote d'Ivoire Ghana Guinea Conakry Mali Niger Nigeria Senegal Sierra Leone Togo	Dominican Republic Guyana Haiti Jamaica Suriname Trinidad and Tobago	Fiji Papua New Guinea Samoa Solomon Islands Tonga Vanuatu

Selection of countries participating in country-level activities

The programme focus countries were selected following an EOI process. EOI's were submitted jointly by the programme focal point (representing the participating government agency) and the UNDP country office. All countries previously selected as participating countries for regional training were eligible to apply to become a focus country. EU delegations were invited to contribute to the exercise.

EOIs were expected to be no longer than 5 pages plus annexes. The focal point and the UNDP country office were invited to register their intention to prepare an EOI and hold a briefing call with the UNDP program team. Applications were received in both French and English.

A ranking of all submissions was undertaken based on the evaluation criteria outlined in the EOI and a detailed evaluation grid. Information requested in the EOI included: five key reasons for selection of the country; brief overview of the LVMM sector; potential for the LVMM sector to contribute to development; policy and regulatory setting of LVMM sector; potential partner institutions, associations and NGOs for implementation of activities; financial and technical sustainability of the support; interest in supporting south-south cooperation and knowledge-sharing during and after the program; potential risks to the delivery of program activities; related country level programs and activities in the extractive sector; co-contribution for delivery (cash/in-kind); and annexes (letters of support).

The highest ranked country within each of the six broad geographic regions of the ACP Group of States was chosen as the focus country at the end of the process.

Technical meetings were held to review the applications and share the provisional results. An evaluation report was prepared to document the evaluation process. The selected countries were endorsed by the Programme Steering Committee. (See Annex D for detailed information on the technical evaluation).

A detailed timeline of the selection process was as follows:

- The selection process, timeline and criteria for expression of interest was prepared by UNDP and shared with the EC and ACP for comment (6 August, 2015). Comments were to be received by (12 August, 2015).
- Final evaluation criteria adopted and incorporated into the draft template of the EOI. Draft EOI distributed by UNDP to representatives of the EC and ACP for comment (18 August, 2015).
- EOI template finalized and distributed by UNDP to representatives of the EC and ACP in English (24 August, 2015) and French (26 August, 2015).
- Call for expressions of interest distributed by UNDP in both English and French to programme focal points, embassies of the ACP, UNDP country office contact points, and European Union missions and embassies (8 September, 2015).
- Deadline for submission of expressions of interest (9 October, 2015).
- EOI submissions received were distributed by UNDP to ACP and EC representatives to aid preparation of the evaluation process (13 October, 2015).
- Evaluation scoring grid (based on agreed to evaluation criteria) prepared by UNDP and distributed to ACP and EC (22 October 2015).



- Technical meeting held between UNDP, EC and ACP to discuss EOI submissions. EC and UNDP preliminary evaluation scores shared. Preliminary ACP evaluation presented. Preliminary recommendations were discussed (26 October, 2015).
- Draft evaluation report distributed by UNDP to EC and ACP (31 October, 2015) summarizing first technical meeting.
- ACP evaluation (scores & breakdown against the criteria) shared (04 November 2015).
- Second technical meeting held to finalise country selection (13 November, 2015).
- Evaluation report finalized by exchange of email (24 November - 7 December 2015).
- Steering committee endorsement of recommended programme focus countries (11 December, 2015).
- Announcement of program focus countries.

A total of twenty-one applications were received representing the following countries:

- **East Africa:** Ethiopia, Uganda, Madagascar
- **West Africa:** Benin, Burkina Faso, Cote d'Ivoire, Ghana, Guinea Conakry, Mali, Nigeria, Senegal, Sierra Leone
- **Southern Africa:** Angola, Mozambique, Zambia
- **Central Africa:** Cameroon and Republic of the Congo
- **Caribbean:** Dominican Republic, Jamaica, Trinidad and Tobago
- **Pacific:** Fiji

The following countries were selected and endorsed by the 2nd Programme Steering Committee meeting: Uganda (**East Africa**), Guinea Conakry (**West Africa**), Zambia (**Southern Africa**), Cameroon (**Central Africa**), Jamaica (**Caribbean**), and Fiji (**Pacific**).

The EOI process revealed a strong (and unmet) interest among many members of the ACP Group of States to be involved in country-level programming on this topic.

2. Inception workshop

The Inception Workshop held in Brussels on the 15th and 16th of July was attended by a diverse group of more than 70 stakeholders, including representatives of 21 ACP countries, international organizations, bilateral partners, mining associations, small-scale miners, chambers of mines, regional and specialist institutions as well as civil society organizations active in the neglected development minerals sector. The overwhelming number of interested stakeholders resulted in an increase in the number of intended participants – from the planned 50 to the actual 70 participants.

This Inception Workshop acted as a consultative forum with diverse key stakeholders in the mining sector, contributing to enriched discourse and information exchange on the role of industrial minerals, construction materials, dimension stones, and semi-precious stones in the development agenda of the countries in the ACP region. The participants pledged their commitment to support the regional and country-level activities of the programme in their various capacities, in line with their roles in the mining sector.

After multi-lateral consultations with the ACP secretariat, and the EU, the specific objectives of the workshop were refined as follows:

- To identify the most suitable capacity development approaches, tools and potential partner institutions for each of the areas identified in the initiative
- To review experiences of similar initiatives targeting the neglected development minerals sector, in ACP countries or elsewhere
- To identify areas for knowledge sharing and cross-country collaboration and possible mechanisms for doing so
- To define institutional partnerships and collaborations, including with regional organizations
- To gather information about the small-scale mining of neglected development minerals in ACP countries
- To present the initiative's concept, design and workflow
- To present a draft background paper on neglected development minerals in ACP countries and gather further feedback and comments to complete the study

The inception workshop participants strongly welcomed the initiative, which they considered very timely and useful to support a sector that has not received a lot of international attention and is key for the local and national economies of the ACP.

Participants, however, expressed concern that the term Low Value Minerals and Materials (LVMM) can have negative connotations, with participants cautioning against the use of this term to describe the programme. Industrial minerals, construction materials, dimension stones, and semi-precious stones are referred to as 'low value' by economic geologists due to their low price as a function of their weight, and their relatively low value to international commodity markets. There was a widely held belief at the workshop that 'low value' implied that these commodities were not important for development when the opposite is actually the case. So called 'low value' minerals and materials provide crucial inputs for domestic economic development (infrastructure, manufacturing, construction and agriculture to name a few) and have the potential to be high value in terms of broad-based national development. It was further argued by some participants that the term 'low value' could be seen to be associated with a colonialist view that unduly preferences the importance of minerals exported for consumption by developed economies (so called high-value minerals), rather



than minerals and materials that are important for local and domestic development. The programme team was therefore urged to adopt alternate language for this mining sub-sector.

Consequently, this feedback led to the adoption of **ACP-EU Development Minerals Programme** as the short-name for the programme, as agreed by consensus by the three programme partners – ACP secretariat, EU and UNDP. To reset the debate and shed the pejorative connotations associated with ‘low value’ commodities, the ACP-EU Development Minerals Programme also uses the term *Neglected Development Minerals*. Each unique mineral or material has unique links to economic, social and human development. Neglect in the development and the oversight of industrial minerals, construction materials, dimension stones and semi-precious stones can inhibit domestic development in Africa, the Caribbean and the Pacific, and perpetuate environmental, social, and economic challenges of the sector.

An Inception Workshop report was prepared and distributed amongst participants for comments and finalized incorporating the feedback (see Annex A). Interviews of Inception Workshop participants were developed into an Introductory Project Summary video (available here: <https://vimeo.com/153215198>).

Background study

A background study was prepared (in both French and English) by Professor Gavin Hilson a globally recognized expert on small scale mining. A session was held at the Inception Workshop to discuss the key findings of the study. Professor Hilson reported that there was limited available data on the sector in the ACP region and that legal and regulatory frameworks were under-developed to effectively regulate and enable the sector. He made recommendations on the sector based on the value proposition of development minerals. The background study was sent to all Inception Workshop participants and other network contacts for review and feedback. The feedback received was incorporated into the study.

(See a link to the study here:

https://www.dropbox.com/s/8dqckyknvjvss5h/Hilson_Draft%20Background%20Study_for%20review_EN.docx?dl=0).

3. Sector capacity assessments and capacity development roadmaps (at the country level only)

Country-level sector assessments and capacity development roadmaps will be developed in the 2nd quarter of 2016. The assessments will provide baseline material to guide programming following the selection of the focus countries. Discussions have been undertaken with a range of partners to collaborate in the implementation of these activities. These partners include those cited earlier namely: AMDC, GIRAF, SPC, Women in Mining (secretariat and country chapters), AMGC; and the African Institute for Economic Development and Planning (UN IDEP - L'Institut Africain de Développement Économique et de Planification des Nations Unies).



The studies will include: socio-economic, poverty and conflict risk analyses to establish concrete baselines; market analysis and value chains; legal and policy reviews. These sector assessments and capacity development roadmaps will be synergised with the implementation of the return to work plans of the participants to the regional training events. Many of the 33 return to work plans submitted to date (18 individual and 15 group) contain components on baseline data collection and local level capacity development plans.

The results of the sector capacity assessments will inform the country-level consultations for the development of capacity development roadmaps (see section IV on Work plan 2016 in this report).

4. Participant selection for workshops and training

For the inception workshop, outreach was undertaken through the regional institutions, ACP focal points, Ministries of mining, as well as UNDP extractives team networks.

Participants to the Regional Sharefair on Gender Equality in the Extractives Industry were women small-scale miners of low value minerals and materials from Malawi, Mozambique, Tanzania and Zambia. These women miners also held leadership positions in Mining Associations in their respective countries. Their selection was undertaken in association with UNWOMEN through the wider registration process for the Regional Sharefair on Gender. Specific selection of women small-scale miners was undertaken in a bid to improve the number of women participants benefiting from the programme and ensure that the knowledge and skills gained would be further passed on to the association members with grassroots reach in various provinces as evidenced by their return to work plans.

Selection of the participants of the Geoscience Information in Africa workshop was undertaken in association with the GIRAF Network workshop registration process. A call for abstracts was distributed to the GIRAF Network inviting potential participants on the themes of the programme. The best abstracts were competitively selected for presentation at the event.

Participant selection for the Regional Training Workshops was drawn from the four key stakeholder groups identified in the project's Description of Action, and endeavoured to ensure adequate participation and representation of both men and women. Participants of Regional Training Workshops were selected through a Request for Application (RFA) process that was circulated widely to: the country focal points, UNDP country offices, ACP Missions and Embassies, EU Delegations, civil society groups, regional institutions, mining associations and private sector organisations (see Annex E– Sample Request for Application form). The RFA's were also posted on public websites, including: Goxi (<http://goxi.org/>) and the IM4DC (<http://im4dc.org/>) that have membership running into the thousands for the four key stakeholder groups.



In 2015, of the 180 stakeholders directly engaged in the programme's 5 events, 137 were male and 43 female (demonstrating a 24% female representation rate). To ensure a more balanced gender representation in future events greater outreach will occur to encourage more female candidates to apply. If necessary quotas will be introduced to ensure balanced representation by nominating agencies. Additional events targeted at women small-scale miners may also be held.

Table 5: Number of people directly engaged in training events and workshops in 2015 by gender

N o	Training/workshop event	Male	Female	Total
1	Inception Workshop	55	15	70
2	Geoscience Information in Africa (GIRAF)	13	1	14
3	Regional Sharefair on Gender Equality in the Extractives Industry	0	12	12
4	East Africa Regional Workshop on <i>Environment, Community, Health and Safety</i>	29	10	39
5	Pacific Regional Workshop on <i>Environment, Community, Health and Safety</i>	40	5	45
	TOTAL	137	43	180

5. Training and coaching

In addition to the Inception Workshop, training participants were sponsored to attend the following four Regional Training Workshops in 2015.

Geoscience Information in Africa Workshop (GIRAF 2015)

(Output 5: Access to geo-data in mining improved through enhanced technical knowledge, capacity and coordination among public institutions).

The programme sponsored 14 participants to attend the GIRAF 2015 Workshop in Maputo, 6–9 of October, 2015 (see list of participants; Annex F). GIRAF is a pan-African geoscience information knowledge network of geological surveys, universities and companies. Established in 2006 the network hosts bi-annual capacity building workshops for south-to-south knowledge exchange. Around 90 participants attended the workshop, representing the key geological institutions and geological surveys in Africa. A larger number of people were registered to attend the event (180), but in the absence of sponsorship did not have the resources to participate. This fact is an indicator of the need and desire for such a network and the scarcity of resources to capitalize on such opportunities. The network itself is in excess of 380 people. BGR (the Federal Institute for Geosciences and Natural Resources in Germany

is the main sponsor of GIRAF. Sponsorship of GIRAF 2015 was also provided by GIZ and UNESCO. Two sessions were held on LVMM allowing our 14 sponsored participants to create a lively discussion amongst all attendees. The sponsorship was an opportunity to influence the curricula for all of the participants (not just the participants sponsored by the programme) such that the particular challenges for the LVMM sub-sector were highlighted and a prompt for Geological Surveys in Africa to consider the sector more closely. The German Ambassador to Mozambique, Dr. Philipp Schauer opened the event, along with Adriano Senvano, Director of the National Institute of Mines, Mozambique. The UNDP Programme Manager, Dr Daniel Franks gave a welcome speech outlining the programme as part of the opening ceremony.



Figure 1: Participants at the Geoscience Information in Africa Workshop, 6-9 October, Maputo, Mozambique. The ACP-EU Development Minerals Programme sponsored 14 participants.

Regional Sharefair on Gender Equality in the Extractives Industry

(Output 3: Productivity, access to markets and working capital of the small-scale private sector in mining increased through enhanced entrepreneurial skills).

The programme sponsored 12 women small scale miners working in LVMM to attend the Regional Sharefair on Gender Equality in the Extractives Industry, Nairobi on the 13-15 October 2015 (See list of participants; Annex G). UN Women in collaboration with key partners (including the ACP-EU Development Minerals Programme) brought together governments, small-scale miners, civil society, and the private sector to participate in the event. The Regional Sharefair was attended by 430 participants from across Africa to share knowledge and facilitate dialogue on pertinent issues affecting women in the extractive industries – including promoting innovations and technologies, best practices and policies that support women working in and affecting by extractive industries. The 12 women miners were drawn from Malawi, Mozambique, Tanzania and Zambia.

The UNDP Programme team facilitated an opening ceremony speaking role for Ambassador

Stefan Dejak, Head of the EU Delegation in Kenya, alongside high-level dignitaries including: Kenyan Cabinet Secretary of Mining – H. E Najib Balala; Australian High Commissioner – Ambassador John Feakes; UN Under Secretary General and Director General of UNON – Amb. Sahle-Work Zewde. Ambassador Dejak made remarks on the EU’s commitment to gender equality including in the extractive industries. Some of his quotes were tweeted by the UNDP Programme Team and retweeted to over 700,000 recipients through the twitter handles of the EU in Kenya, UNDP Brussels, and Jeff Koinange (moderator of the opening ceremony and media personality in Kenya). The UNDP Programme team contributed to the outcome document of the Sharefair, which captured several key policy issues advocated by the ACP-EU Development Minerals Programme. The outcome document will be used for policy advocacy at national (country-level), sub-regional (Regional Economic Community -level) and regional (Africa-wide), including at the African Union Commission level.

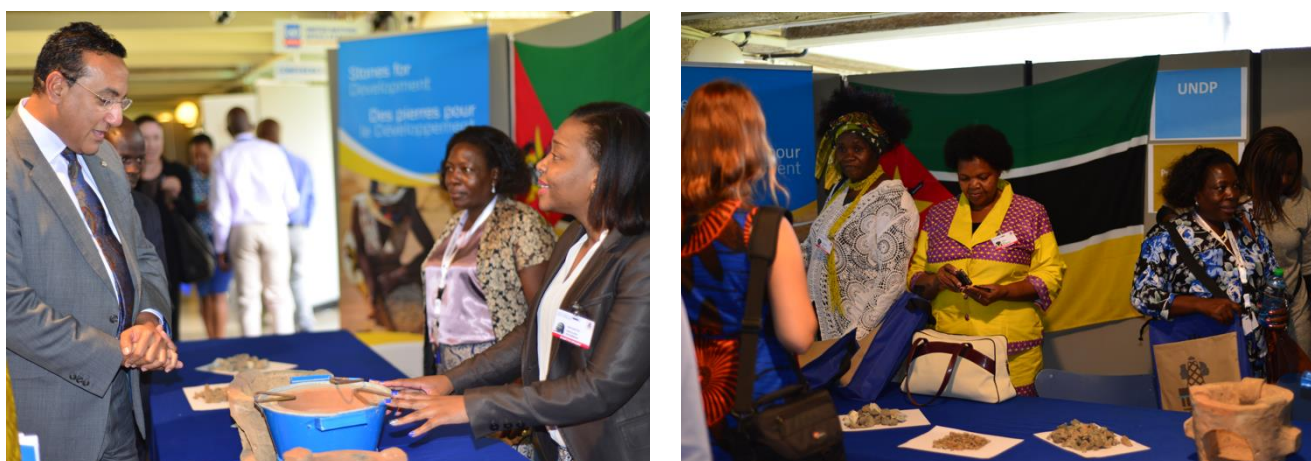


Figure 2. Women miners sponsored to attend Regional Sharefair on Gender Equality in the Extractive Industries. Left: Demonstrating clay mining methods to H. E Najib Balala, Kenyan Cabinet Secretary of Mining. Right: Sponsored women small scale miners from Mozambique.

East Africa Regional Training Workshop on Environment, Community, Health and Safety

[Output 1: Operating standards and technologies improved in mines and quarries through enhanced technical knowledge of the small-scale private sector, associations/chambers, and public institutions in mine and quarry management;

Output 2: Environmental, health, safety standards improved and human and labor rights better protected in artisanal and small-scale mining through enhanced technical and legal knowledge of the small-scale private sector, associations/chambers and public institutions;

Output 6: Risk of conflict between miners, mining enterprises and local communities reduced by building conflict prevention, dialogue and mediation skills of miners, associations/chambers, public institutions and communities]

The East Africa Regional Training Workshop on Environment, Community, Health and Safety was held in Addis Ababa, 9-12 November (for Ethiopia, Kenya, Tanzania, Zambia and Uganda). The event was held in association with the Africa Union Commission and the African Minerals

Development Centre at the African Union Commission conference facilities in Addis Ababa. Thirty-nine mid-level professionals were selected, representing public stakeholders such as regulatory agencies and local governments; private stakeholders such as small-scale mining enterprises, associations, and business development centres; and social stakeholders such as civil society organizations and community groups (see list of participants; Annex H).

The Opening Ceremony of the workshop doubled as the Africa launch of the Programme. The theme of the Opening Ceremony was 'Economic Empowerment from Africa's Minerals'. High-level participants delivered key-note addresses about the role of LVMM in economic development in Africa, including:

- Ambassador Gary Quince, Head of the EU Delegation to the African Union
- H.E. Tolesa Shagi, Minister of Mines, Federal Democratic Republic of Ethiopia
- Dr Emagna, Private Sector & Investment Expert, African Caribbean and Pacific Secretariat
- H.E Ms. Fatima Haram Acyl, Commissioner, Trade and Industry, African Union Commission
- Mr. Lebogang Motlana, Director, UNDP Regional Service Centre – Africa.

A panel discussion followed on the theme, with presentations from:

- Frank Dixon Mugenyi, Senior Industry Advisor, Trade and Industry Department, African Union Commission
- Dr. Degol Hailu, Global Extractives Lead and Senior Advisor, UNDP
- Dr. Kojo Busia, African Minerals Development Centre
- Mr. Jiksa Kidane, Acting Director - ASM, Ministry of Mines, Ethiopia
- Ms. Majala Mlagui, CEO Thamani Group, Kenya

The four-day training followed the opening ceremony. The training was led by Professor Salvador Mondlane (Mozambique) and Lacina Pakoun (UNDP). A field trip was held on Wednesday the 11th of November 2015 to three quarry sites near Debre Zeit that supply pumice, ash and other locally consumed construction material. The field visits provided participants with first-hand experience on mine and quarry management, environment, health and safety and community relations issues. Participants met with site management/leadership, technical professionals, community members and representatives of local organisations. The participants conducted rapid assessments of the operation and management of the sites by direct observation and testimonials, and provided feedback to the quarry operators.





Figure 3: Training participants and dignitaries at the Opening Ceremony of the East Africa Regional Training Workshop on Environment, Community, Health and Safety in the Neglected Development Minerals Sector, African Union Commission, Addis Ababa, 9-12, November 2015



Figure 4: Award of certificates to training participants, East Africa Regional Training Workshop on Environment, Community, Health and Safety, 12 November, 2015. Left to right: Dr Maximin Emagna, ACP Secretariat; Agnes Alaba Kuterema, Ministry of Energy and Mineral Development, Uganda; Frank Mugenyi Dixon, Africa Union Commission; Wubishet Bachare Roba, small-scale miner, Ethiopia.

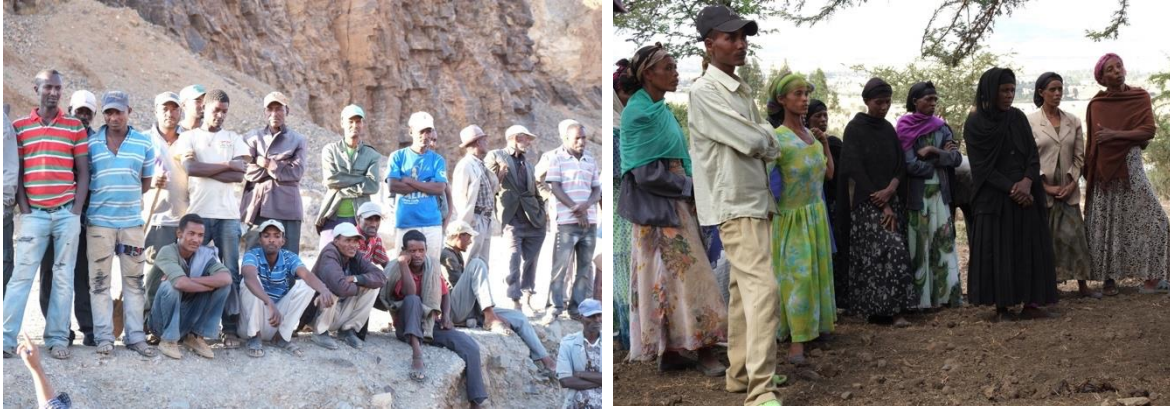


Figure 5: Field trip of East Africa Regional Training Workshop. Left – Members of an artisanal mining cooperative near Debre Zeit, Addis Ababa, Ethiopia. Right – Dialogue between training participants and community members living in the vicinity of a small scale scoria quarry near Debre Zeit, Addis Ababa, Ethiopia.

Pacific Regional Training Workshop on Environment, Community, Health and Safety

[Output 1: Operating standards and technologies improved in mines and quarries through enhanced technical knowledge of the small-scale private sector, associations/chambers, and public institutions in mine and quarry management;

Output 2: Environmental, health, safety standards improved and human and labor rights better protected in artisanal and small-scale mining through enhanced technical and legal knowledge of the small-scale private sector, associations/chambers and public institutions;

Output 6: Risk of conflict between miners, mining enterprises and local communities reduced by building conflict prevention, dialogue and mediation skills of miners, associations/chambers, public institutions and communities]

The Pacific Regional Training Workshop on Environment, Community, Health and Safety was held in Suva, on 1-4 December, 2015. The event was opened by Hon. Mereseini Vuniwaqa, Minister for Lands and Mineral Resources, Fiji; Amb. Andrew Jacobs, Head of the EU Delegation to the Pacific; and Ms. Osnat Lubrani, UNDP Resident Representative Fiji.

Forty-four participants were supported to attend representing Fiji, Papua New Guinea, Samoa, Solomon Islands, Tonga and Vanuatu (see list of participants; Annex I). The participants were competitively selected via a Request for Application process. The event was co-hosted by the Government of Fiji and the Secretariat of the Pacific Community. A field trip was held to two aggregate quarries providing building materials for Fiji and the wider Pacific and a rehabilitated quarry that is now an eco-tourism resort. The co-trainers of the Workshop were Associate Professor Carmel Bofinger, Minerals Industry Safety and Health Centre, University of Queensland; Dr Warwick Browne, RareFind Consulting; and Lacina Pakoun (UNDP).



Figure 6: Training participants of the Pacific Regional Training Workshop on Environment, Community, Health and Safety in the Neglected Development Minerals Sector, Suva, Fiji, 1-4 December, 2015.



Figure 7: Field trip during the Pacific Regional Training Workshop on Environment, Community, Health and Safety, Suva, Fiji, 3 December, 2015. Standard Concrete (left) and Colo-i-Suva eco-tourism resort (right; a rehabilitated aggregate quarry).

6. Country-level initiatives for sector promotion

All focus country interventions are slated to begin implementation from the first quarter of 2016, following the selection and notification of the 6 focus countries in December 2015. Periodic monitoring of the **33** return to work plans (18 individual and 15 group plans) submitted to the programme, all of which reflect activities for sector promotion, have already commenced. This will further be enforced in 2016 to facilitate transformative impact at the local level. Furthermore, the programme has facilitated peer learning and the building of in-country networks through the five events already held. This is set to continue in the coming year.

7. Final Workshop

A final workshop will be organized at the conclusion of the project with the aim of consolidating South-to-South cooperation and learning within and across the ACP regions; providing space for the showcasing of results of the project by the partners and key stakeholders; and drawing conclusions as well as lessons learnt by the different stakeholder groups.



SECTION III: MANAGEMENT ARRANGEMENTS

III.A PROGRAMME STEERING COMMITTEE

The Program Steering Committee (PSC) is the main policy body overseeing the project implementation. The PSC operates by consensus with the following mandate: 1) provide strategic and policy guidance; 2) take management decisions guiding project implementation; and 3) monitor progress in the execution of the project. The PSC reviews project progress; makes decisions on major issues such as reviewing and endorsing changes in beneficiary countries (or discontinuation of operations) or in the project's methodology; and issues recommendations when needed.

The PSC serves as a channel for inter-institutional linkages, and performs a coordinating, as well as an oversight, function ensuring that project activities are undertaken effectively and efficiently during the course of implementation. The membership of the steering committee consists of: representatives from the ACP Secretariat; representatives from the European Commission; representatives from UNDP; and as desired, representatives from ACP Regions, with observer status. The PSC meetings are chaired by the ACP Secretariat.

Terms of reference for the operation of the PSC were endorsed following the first meeting of the PSC meeting on March 25, 2015 (see Annex B). During the period under review, the Steering Committee held two meetings – the first in March 2015 and the second in December 2015 (see Annex C for minutes of the PSC meetings). The second meeting (December 2015) deliberated upon and endorsed the selection of the six focus countries for country-level programme delivery. The tables below outline the representation of the PSC during 2015.

Table 6: Representation at the Programme Steering Committee Meeting, December (2015)

Institution	Representatives
European Union (DEVCO)	<ul style="list-style-type: none">○ Mr. Denis Salord, Head of Unit DEVCO/E3 (Regional Programmes Sub-Saharan Africa and ACP wide)○ Ms. Annica Floren, Head of Section DEVCO/E3○ Mr. Zakaria Sbitri, Programme Manager DEVCO/E3○ Mr. Sergio Piazzardi, Private Sector DEVCO/C4
ACP Secretariat	<ul style="list-style-type: none">○ Mr. Viwanou Gnassounou, Assistant Secretary-General, SEDT○ Dr. Emagna, Private Sector & Investment Expert, SEDT
UNDP	<ul style="list-style-type: none">○ Ms. Barbara Pesce-Monteiro, Director, UNDP Brussels○ Dr. Daniel Franks, Programme Manager, UNDP○ Ms. Caroline Ngonze, Programme Specialist, UNDP○ Ms. Irene Garcia Gonzalez, Programme Advisor, UNDP Brussels

Table 7: Representation at the Programme Steering Committee Meeting, March (2015)

Institution	Representatives
European Union (DEVCO)	<ul style="list-style-type: none"> ○ Mr. Denis Salord, Head of Unit DEVCO/E3 (Regional Programmes Sub-Saharan Africa and ACP wide) ○ Ms. Annica Floren, Head of Section DEVCO/E3 ○ Mr. Zakaria Sbitri, Programme Manager DEVCO/E3 ○ Mr. Paolo Bufalini, Trainee
ACP Secretariat	<ul style="list-style-type: none"> ○ Mme Raymond, Assistant Secretary-General ○ Dr. Emagna, Private Sector & Investment Expert, SEDT ○ Mr. Adingra, Commodities Expert ○ Dr. Jackson, Environment Expert ○ Mr. Ramooneng, Projects Portfolio Management and Quality Support Officer ○ Mr. Achaempong, Intern
UNDP	<ul style="list-style-type: none"> ○ Mr. Pierre Harzé, Deputy Director, UNDP Brussels ○ Mr. Degol Hailu, Extractive Industries Senior Advisor, Global Lead, UNDP Regional Center in Addis ○ Ms. Mireia Villar Forner, Senior Policy Advisor, UNDP Brussels ○ Ms. Uyanga Gankhuyag, Program Specialist/Economist, Extractive Industries, UNDP Regional Center in Bangkok

III.B TECHNICAL COMMITTEE

The Programme Technical Committee will provide advice on the production of the programme’s knowledge products. The committee will consist of globally recognised specialists in the fields of mining and sustainable development, representing the range of thematic areas addressed by the programme: geology, mine management, community relations, market analysis and investment promotion, health and safety and environment.

Members of the Technical Committee are expected to:

- Review programme training curricula, knowledge products and technical studies and provide timely advice and revisions.
- Provide advice on country-level implementation.
- Inform the Programme Team of opportunities to coordinate the programme activities with other initiatives (past and present) and assist to form partnerships with technical institutions relevant to the programme.
- Bring to the attention of the Programme Team cutting edge scientific and policy findings of relevance to achieve the programme objectives.
- Provide support (knowledge and networks) to training participants in the implementation of Return-to-Work Plans in areas of interest to the Technical Committee Member.

- Distribute advertised Terms of Reference for training and technical consultancies to qualified experts and professionals.
- Distribute final knowledge products through professional networks and promote training events to interested parties.
- Attend quarterly online meetings of the committee and share meeting minutes with the UNDP Programme Team.
- Attend face to face meetings when necessary
- Act as an ambassador for the achievements of programme objectives.

The Terms of Reference for the Technical Committee has been drafted and will be used to formally constitute the committee during the first quarter of 2016 (see Annex J). The Programme team has engaged with a range of acknowledged global experts in the small-scale mining sector in the constitution of the committee. Programme Specialist Caroline Ngonze attended a gathering of global experts on small scale mining ('Between the plough and the pick: informal mining in the contemporary world', 5-6 November, 2015 – Canberra, Australia). During her presentation she shared the activities and aims of the Programme and networked with potential technical committee members.

III.C UNDP PROGRAMME MANAGEMENT TEAM

The UNDP Programme Management Team, which came on board in the latter half of the reporting period, is comprised of the following members with expansive and in-depth expertise in the extractives industry:

1. Dr Daniel Franks, Programme Manager, Brussels (start date: June 2015)
2. Lacina Pakoun, Technical Advisor, Addis Ababa (start date: August 2015)
3. Caroline Ngonze, Programme Specialist, Brussels (start date: August 2015)
4. Asan Amza, Operations Associate, Brussels (start date: August 2015)

Draft Terms of Reference for the recruitment of 6 country coordinators to support the country-level implementation of the programme in the focus countries have been finalised. The recruitment will be finalised in the first and second quarter of 2016. Position descriptions for the roles are included as Annex K.

III.D MONITORING AND EVALUATION

1. Results and Resources Framework

The Results and Resources Framework will be used as the basis for monitoring the programme implementation. As outlined in the Description of Action the original Framework has been updated during the inception and will be further refined following the completion of the baseline studies and country-level roadmaps. The following results were achieved in the reporting period:

Table 8 Results and Resources Framework: results 2015. *Blue denotes indicators as per original framework in Annex 1: Description of the Action. Green denotes additional indicators added during Inception Phase.*

Intended outputs & indicators of change	Output indicators	Indicative activities
Overall objective: Foster the sustainable and inclusive development of the mineral resources industry in ACP countries.		
<p>Indicator:</p> <p>Number of targeted stakeholders directly engaged in training:</p> <p>Number of training person days of stakeholders directly engaged in training:</p> <p>Number of targeted stakeholders indirectly engaged in training (present during presentation of LVMM curricula but not necessarily ACP-EU Development Minerals Programme participants):</p> <p>Number of ACP countries represented at training/workshops:</p>	<p>Total Target: (200-300 regional; 400-500 country-level; 2000 train-the-trainer; total = 2600-2800) Year 1 Target: 300 Achievement:180</p> <p>No target set in Contribution Agreement. Indicative total programme target: 2700 Year 1 Target: 800 Achievement: 576</p> <p>No target set in Contribution Agreement. Year 1 Target: 0 Achievement: 520</p> <p>Total Target: 40 Year 1 Target: 30 Achievement: 37</p>	<ul style="list-style-type: none"> • ACP Country nationals represented at training/workshops include: Angola, Burkina Faso, Burundi, Cameroon, Cote d'Ivoire, Democratic Republic of Congo, Dominican Republic, Ethiopia, Fiji, Ghana, Guinea Conakry, Guyana, Haiti, Jamaica, Kenya, Lesotho, Madagascar, Malawi, Mali, Mozambique, Namibia, Niger, Nigeria, Papua New Guinea, Republic of Congo, Rwanda, Samoa, Senegal, Solomon Islands, Tanzania, Togo, Tonga, Trinidad and Tobago, Uganda, Vanuatu, Zambia, Zimbabwe. • The Outcome Declaration of the Share fair called for policy actions aimed at investment promotion, strategic integration into national, regional and global value-chains as well as market access. • Universities engaged in programme activities include: Divine Word University – Papua New Guinea; Eduardo Mondlane University, Mozambique; University of Dar-es-Salaam, Tanzania; University of Ibadan, Nigeria; University of Papua New Guinea; University of Queensland, Australia; University of Technology – Papua New Guinea; University of Zambia; University of Zimbabwe. • Partnerships with regional institutions of the ACP include: The African Union Commission (AUC), African Minerals Development Centre (AMDC), Geoscience Information in

<p>Number of universities in the South and North engaged:</p>	<p>No target set in Contribution Agreement. Indicative programme total target: 5 Year 1 Target: 2 Achievement: 9</p>	<p>Africa Network (GIRAF), Organization of African Geological Surveys (OAGS), and the Secretariat of the Pacific Community (SPC).</p>
<p>Number of university curricula revised to include modules on LVMM:</p>	<p>No target set in Contribution Agreement. Indicative programme total target: 5 Year 1 Target: 0 Achievement: 0</p>	
<p>Proportion of women in training programmes: # Note that this is a reach target.</p>	<p>No target set in Contribution Agreement. Indicative programme target: 50%# Year 1 Target: 50% Achievement: 24%</p>	
<p>Number of partnerships with regional institutions of the ACP</p>	<p>Total Target: 5 Year 1 Target: 5 Achievement: 5</p>	
<p>Number of self-sponsored participants attending training/workshops (or with sponsorship from other donors):</p>	<p>No target set in Contribution Agreement. Year 1 Target: 0</p>	

<p>Number of Regional Training Workshops held (Africa/Caribbean/Pacific):</p>	<p>Achievement: 10</p>	
	<p>Total Target: 20 Year 1 Target: 7 Achievement: 5 (3 Africa; 1 Pacific)</p>	
<p>Number of mining and quarry sites viewed during Field Visits held (Africa/Caribbean/Pacific):</p>	<p>No target set in Contribution Agreement. Indicative programme total target: 30 Year 1 Target: 10 Achievement: 5</p>	
<p>Number of Country-level Training Workshops held:</p>	<p>Total Target: 36 Year 1 Target: 12 Achievement: 0</p>	
<p>Number of training of trainers trained to deliver LVMM curricula:</p>	<p>No target set in Contribution Agreement. Indicative programme total target: 30 Year 1 Target: 10 Achievement: 0</p>	
<p>Number of Return to Work Plans implemented by trained participants:</p>	<p>No target set in Contribution Agreement. Indicative programme total target: 100</p>	

<p>Amount of additional third-party resources mobilised toward programme goals (e.g. training sponsorship from other donors; external funding for RWPs):</p> <p>Number of regional and international policy frameworks improved to include the LVMM sector:</p> <p>Number of known media mentions of the ACP-EU Development Minerals Programme or Neglected Development Minerals:</p>	<p>Year 1 Target: 0 Achievement: 33</p> <p>No target set in Contribution Agreement. Year 1 Target: 0 Achievement: To be calculated</p> <p>No target set in Contribution Agreement. Year 1 Target: 0 Achievement: 2</p> <p>No target set in Contribution Agreement. Indicative programme total target: 100 Year 1 Target: 30 Achievement: 17</p>	
---	---	--

<p>Output 1: Operating standards and technologies improved in mines and quarries through enhanced technical knowledge of the small-scale private sector, associations/chambers, and public institutions in mine and quarry management.</p>		
<p>Indicator: Number of artisanal and small-scale enterprises with improved operating standards and technologies in mine and quarry management:</p>	<p>No target set in Contribution Agreement. Indicative programme total target: 150 Year 1 Target: 50</p>	<ul style="list-style-type: none"> The five events in 2015 directly engaged 22 owners (16 women; 6 men) of artisanal and small-scale mining enterprises; who actively participated in knowledge sharing, leading to a commitment for improving operating standards.

<p>Number of countries where artisanal and small-scale private operators have been directly engaged in training:</p>	<p>Achievement: 22 Total Target: 40 Year 1 Target: 20 Achievement: 12</p>	<ul style="list-style-type: none"> ● Artisanal and small-scale miners attending training/workshops represented the following countries: Ethiopia, Fiji, Kenya, Malawi, Mali, Mozambique, Nigeria, Tanzania, Uganda, and Zambia ● Training modules of the Regional Workshop on Environment, Community, Health and Safety, were focussed on the improvement of standards of quarry management, with 84 participants (15 women, 69 men) subject to the training. ● Field visits to 5 quarry/mining sites that were incorporated into the regional trainings enhanced knowledge sharing on best practices in quarry and mine management. ● Four group return-to-work plans from Ethiopia, Tanzania, Uganda and Zambia all contained activities to raise awareness at the country-level or hold training on mine and quarry management. ● Recommendations from the field visit of 3 mines/quarries as part of the East Africa Regional Training Workshop on Environment, Community, Health and Safety have been taken up by both government policy makers and the small-scale mining operators in Ethiopia as part of their return-to-work plan for policy action (by the government) and practice modification (by the small-scale operators). ● Two individual return-to-work plans from Kenya indicated the drafting of an Environmental Management Plan for a gravel quarry with an awareness raising component on environmental conservation and quarry rehabilitation; and a Strategic Environmental Impact Assessment on sand harvesting and quarrying sites respectively.
<p>Number of public institutions with improved regulations and processes to support mine and quarry management:</p>	<p>No target set in Contribution Agreement. Indicative programme total target: 6 Year 1 Target: 0 Achievement: 0</p>	
<p>Number of mining associations with improved programmes and activities to support mine and quarry management:</p>	<p>No target set in Contribution Agreement. Indicative programme total target: 10 Year 1 Target: 3 Achievement: 0</p>	
<p>Number of women’s mining associations engaged:</p>	<p>No target set in Contribution Agreement. Indicative programme total target: 30 Year 1 Target: 10 Achievement: 5</p>	

		<ul style="list-style-type: none"> • Additionally, the group return-to-work plan from the Solomon Islands indicates that activities will be undertaken to support policy dialogues for the formulation of a Quarry Act; while Vanuatu participants to the Pacific Regional Workshop will undertake periodic quarry assessments with subsequent reporting that will yield Quarry Assessment Reports.
--	--	--

Output 2: Environmental, health, safety standards improved and human and labor rights better protected in artisanal and small-scale mining through enhanced technical and legal knowledge of the small-scale private sector, associations/chambers and public institutions.		
Indicator: Number of government regulations and standards applicable to artisanal and small scale mining in LVMM put in place or improved. Number of environmental programmes or standards put in place by mining or quarrying associations: Number of environmental programmes, standards or improved practices put in place by mining or quarrying operators:	No target set in Contribution Agreement. Indicative programme total target: 6 Year 1 Target: 3 Achievement: 0 No target set in Contribution Agreement. Year 1 Target: 0 Achievement: 0 No target set in Contribution Agreement. Year 1 Target: 0 Achievement: 0	<ul style="list-style-type: none"> • Eighty-four middle to senior policy makers from the public and private sectors, business development and social stakeholders took part in two regional workshops on <i>Environment, community, health and safety</i>. • Ten group return to work plans and four individual plans outlined actions aimed at either establishing or improving the regulations and standards applicable to artisanal mining in LVMM. • For the first Africa regional workshop, all 4 group return to work plans (from Ethiopia, Tanzania, Uganda and Zambia) and 4 individual return to work plans from Kenya state that in-country trainings, sensitization and awareness raising will be carried out targeting all key stakeholder groups on <i>Environment, Community, Health and Safety</i> as a result of the workshop participants' enhanced skills, knowledge and competencies on this thematic focus area. • The Uganda return to work plan further registered intent for active engagement in the on-going legal review of mining legislations to influence the positions on

		<p><i>Environment, Community, Health and Safety of Neglected Development Minerals.</i></p> <ul style="list-style-type: none"> All 6 group return to work plans from the Pacific region training workshop (Fiji, Papua New Guinea, Samoa, Solomon Islands, Tonga and Vanuatu) demonstrated actions to support either the policy or practice dimensions of the environment, community relations, and health or safety of the mining communities. The Fiji plan was very specific in detailing actions aimed at streamlining the Environmental Impact Assessment (EIA) processes in relation to Neglected Development Minerals.
--	--	---

Output 3: Productivity, access to markets and working capital of the small-scale private sector in mining increased through enhanced entrepreneurial skills.		
<p>Indicator:</p> <p>Number of artisanal and small-scale mining enterprises with improved access to markets and capital.</p>	<p>No target set in Contribution Agreement. Indicative programme total target: 50 Year 1 Target: 0 Achievement: 0</p>	<ul style="list-style-type: none"> Proprietors of 22 artisanal and small-scale enterprises directly engaged in 5 workshops/training events indicated that the knowledge gained from the sharing of best practices and networking with other participants enhanced their knowledge on market analysis and investments. Eighty-four participants, drawn from the 4 key stakeholder groups (public, private, business development, and social stakeholders) reported enhanced skills and knowledge required to map and harness the potential downstream and side stream linkages (including market and capital access) as a result of the 2 Regional Training Workshop on Environment, Community, Health and Safety.

Output 4: Value chains in low-value mining strengthened through networking and improved capacity of associations/chambers and public institutions to conduct market analysis and investment promotion.

Output indicators:		
<p>Number of contracts made between artisanal and small-scale mining enterprises with enterprises downstream in the LVMM value chain (e.g construction).</p>	<p>No target set in Contribution Agreement. Indicative programme total target: 30 Year 1 Target: 10 Achievement: 0</p>	<ul style="list-style-type: none"> • Twelve female small-scale miners from Malawi, Mozambique, Tanzania and Zambia, working with various categories of LVMM were sponsored to attend the Regional Sharefair on Gender Equality in Extractive Industries. This afforded them the opportunity to network and make initial business contact with the private sector as well as business development stakeholders. • 3 country return to work plans by the small-scale miners from, Mozambique, Tanzania and Zambia and 2 individual plans from Malawi prepared following the Regional Sharefair on Gender Equality in Extractive Industries included partnership outreach and liaison with respective government ministries for the development of tailored capacity-building initiatives in enterprise development particularly in relation to value-addition, marketing and market analysis.
<p>Regulations on artisanal and small-scale mining applicable to LVMM are put in place or improved.</p>	<p>Total Target: 6 Year 1 Target: 0 Achievement:0</p>	
<p>Number of new mining associations established to support artisanal and small scale miners.</p>	<p>No target set in Contribution Agreement. Indicative programme total target: 6 Year 1 Target: 0 Achievement: 0</p>	
<p>Number of trade fairs and market promotion events supported/held:</p>	<p>No target set in Contribution Agreement. Indicative programme total target: 12 Year 1 Target: 4 Achievement: 0</p>	

Output 5: Access to geo-data in mining improved through enhanced technical knowledge, capacity and coordination among public institutions.		
Indicators:		
Systems put in place for access to and sale of geo data:	No target set in Contribution Agreement. Year 1 Target: 0 Achievement: 0	<ul style="list-style-type: none"> • 14 participants were sponsored to attend the Geoscience Information in Africa (GIRAF) 2015 Workshop on geo-data. Two sessions on LVMM held with all 90 participants of GIRAF. • Neglected Development Minerals and Low Value Minerals and Materials have been incorporated into Geoscience Information in Africa (GIRAF) activities – starting 2015/2016 Biennium. • The upcoming Geological Society of Africa (GSAf) Colloquium in Ibadan, Nigeria (2016) will feature sessions devoted to Neglected Development Minerals and Low Value Minerals and Materials. • All the 10 individual return to work plans and 1 collaborative country plan prepared as a result of the GIRAF training contained a component of geo-data mapping (including GIS remote-sensed geo-data) through the undertaking of baseline surveys on geo-data and the updating geological maps.
Number of products and maps with geo data produced.	No target set in Contribution Agreement. Year 1 Target: 0 Achievement: 0	
Number of experts qualified to produce and systematize geo data.	No target set in Contribution Agreement. Year 1 Target: 0 Achievement: 0	

Output 6: Risk of conflict between low-value miners, mining enterprises and local communities reduced by building conflict prevention, dialogue and mediation skills of miners, associations/chambers, public institutions and communities.		
Output indicators:		
Number of communities with established dialogue and participatory monitoring mechanisms:	No target set in Contribution Agreement. Indicative	<ul style="list-style-type: none"> • Eighty-four participants from the Regional Training Workshops on Environment, Community, Health and Safety reported enhanced skills and knowledge on conflict sensitive mining including the setting up of grievance mechanisms and community engagement.

<p>Number of communities with conflict analysis conducted.</p>	<p>programme total target: 6 Year 1 Target: 0 Achievement: 0</p> <p>No target set in Contribution Agreement. Indicative programme total target: 6 Year 1 Target: 0 Achievement: 0</p>	
<p>Number of communities with established grievance mechanisms.</p>	<p>No target set in Contribution Agreement. Indicative programme total target: 6 Year 1 Target: 0 Achievement: 0</p>	

Note: Indicators within the Results and Resources Framework have been framed as change indicators, i.e. the indicator represents an activity, output or outcome that has been achieved as a result of the programme. The numerical baseline reference for all indicators is therefore zero. The state of the baseline for each output will be provided in narrative form in future reporting and following the implementation of the sector assessments and baseline studies in the programme focus countries. Furthermore, outcome indicators will be refined/added to the framework for the focus countries following the development of the country roadmaps.

2. Demand for training and other programme activities

A key indicator of the relevance of the programme for countries of the ACP Group of States is the demand for programme training and in-country interventions. The programme has been struck by the strong response of the public ministries, small-scale miners and their associations and civil society organisations. The commodity focus of the programme is incredibly unique and is one of the first international development programmes devoted to the topic. The focus commodities have been neglected both in discourse about development but also by mining ministries and geological surveys. The increased attention on the sector has been welcomed by programme recipients.

A large number of applications have been received for the Regional Training Workshops held in 2015 and the programme has been able to accommodate a modest increase in the number of training participants within the prescribed budget. The events, however, have been oversubscribed and a large number of participants have been declined training spots. For the two regional workshops held in Addis Ababa, Ethiopia and Suva, Fiji, a total of 165 applications were received; while only 84 participants were offered slots.

Similarly, interest in the in-country programme was strong. A total of 21 Expressions of Interest were received from ACP countries during the selection process of the 6 focus countries. There is a significant unmet demand therefore for participation in the programme.

3. Return to Work Plans

As outlined in Section II.C, Return to Work Plans are a key feature of the Programme training. The tables below outline the number of plans developed during the four Regional Training Workshops delivered during 2015 regarding the work plans developed as a country group and those developed individually. The Results and Resources Framework above includes details on the relationship between the plans and the Programme Outputs.



Table 9: Return to work plans from the four Regional Training events delivered in 2015

No	Training/Workshop event	Group RTW plans	Individual RTW plans
1	Geoscience Information in Africa (GIRAF)	1	10
2	Regional Sharefair on Gender Equality in the Extractives Industry	3	2
3	East Africa Regional Workshop on <i>Environment, Community, Health and Safety</i>	5	6
4	Pacific Regional Workshop on <i>Environment, Community, Health and Safety</i>	6	0
	TOTAL	15	18
	GRAND TOTAL	33	

III.E COMMUNICATION AND VISIBILITY

1. Communication and visibility plan

A Communication and Visibility Plan was prepared and endorsed by the PSC at its second meeting in December, 2015. The plan will act as a living document and will be updated and represented at subsequent steering committee meetings (see Annex L).

2. Visibility at regional training events

Pull-up banners and cloth banners were designed, printed and deployed at events of the Programme to ensure appropriate visibility of the Programme Partners. The Programme has been careful to differentiate the Programme Partners from the Implementing partners who joined to deliver specific activities and workshops. Participants of the Inception Workshop assisted to film a video introducing the programme. The 7 minute video has been played at the opening ceremony of each of the Regional Training Workshops and is available to view online: <https://vimeo.com/153215198>

The visibility of the programme has further been enhanced by the issuing of Press Releases and Media Advisories distributed for the Inception Workshop, East Africa and Pacific Regional Training Workshops on Environment, Community, Health and Safety. The Press Release was distributed also in French for the Inception Workshop and East Africa

Regional Workshop. These media releases have been picked up and further disseminated to the wider internet traffic in various forms. Annex M provides a sample of the Programme's visibility online.

The Programme has also established a social media presence on Twitter (@DevelopmentMin) and Facebook (ACP – EU Development Minerals Programme). The UNDP Programme Team have written short communication pieces and blogs to further engage interest. (See for example:

<http://www.undp.org/content/brussels/en/home/presscenter/articles/2015/11/24/-blog-what-has-salt-got-to-do-with-development-.html>).

Furthermore, the Opening Ceremony of each of the five workshops organized in 2015 provided opportunities for the ACP and the European Union to welcome participants and ensure visibility. For example:

- During the Opening Ceremony of the Regional Sharefair on Gender Equality in the Extractives Industry held in Kenya (October, 2015), the UNDP Programme Team facilitated speaking role for Ambassador Stefan Dejak, Head of the EU Delegation in Kenya, alongside high-level dignitaries including: Kenyan Cabinet Secretary of Mining – H. E Najib Balala; Australian High Commissioner – Ambassador John Feakes; UN Under Secretary General and Director General of UNON – Amb. Sahle-Work Zewde. Ambassador Dejak made remarks on the EU's commitment to gender equality including in the extractive industries. Some of his quotes tweeted by the UNDP Programme team were retweeted to over 700,000 recipients through the twitter handles of the EU in Kenya, UNDP Brussels, and Jeff Koinange (moderator of the opening ceremony and media personality in Kenya; (See: <https://www.dropbox.com/s/7kkaghypir2rlki/Project%20mentions%20sheet.docx?dl=0>).
- The Opening Ceremony of the East Africa Regional Workshop on *Environment, Community, Health and Safety* included opening remarks made by Ambassador Gary Quince - Head of the EU Delegation to the African Union. UNDP funding provided an opportunity for Dr. Emagna, Private Sector & Investment Expert, SEDT – African Caribbean and Pacific Secretariat to travel to Addis Ababa from Brussels and speak at the session. Other high-level participants included: Hon. Tolesa Shagi, Minister of Mines, Federal Democratic Republic of Ethiopia; H. E Ms. Fatima Haram Acyl, Commissioner, Trade and Industry, African Union Commission and Mr. Lebogang Motlana, Director, UNDP Regional Service Centre – Africa.
- The Pacific Regional Training Workshop on *Environment, Community, Health and*



Safety was held in Suva, December, 2015. An opportunity was made available to Amb. Andrew Jacobs, Head of the EU Delegation to the Pacific to address the Opening Ceremony, alongside, Hon. Mereseini Vuniwaqa, Minister for Lands and Mineral Resources, Fiji and Ms. Osnat Lubrani, UNDP Resident Representative Fiji.

3. Newsletter

Newsletter communication is one of the most effective channels for distribution of information about the programme and to announce training opportunities. An email newsletter was distributed to participants of the Inception Workshop in September 2015 updating them on progress during the inception phase. A professionally designed quarterly newsletter is in preparation and will be distributed using Mail Chimp from March 2015. The newsletter distribution list currently stands at 2600 people.

4. Website

A Programme Website is currently under development. A consultant was recruited to assist with the design. The Programme has undertaken a comprehensive review of knowledge management options to guide the selection of the knowledge portal to use to facilitate networking and interaction amongst programme beneficiaries. The website and knowledge sharing portal will align with the visibility and communications plan (see <https://www.dropbox.com/s/5ehms51nv5pphjw/Knowledge%20networks%20and%20portals%20-background%20paper.docx?dl=>).

5. Outreach events

Moreover, awareness of the ACP – EU Development Minerals Programme was raised by UNDP project staff during the following 6 outreach events.

- Partnership outreach mission in preparation for East Africa Regional Training Workshop, Addis Ababa, Ethiopia, 10-14 August 2015
- Oxfam Global Extractives Team Strategy Call on Small Scale-Mining, 13 August 2015 (speaking slot)
- Ethiopia International Mining Conference (EIMC), Addis Ababa, Ethiopia 24-25 September 2015 (speaking slot)
- Intergovernmental Forum on Mining, Minerals, Metals and Sustainable Development, Geneva, Switzerland, 26-30 October 2015
- Between the plough and the pick: informal mining in the contemporary world, Canberra, Australia, 5-6 November 2015 (speaking slot)





Figure 8: Scenes from the East Africa Regional Training Workshop on Environment, Community, Health and Safety demonstrating visibility of programme partners and the engagement of training participants.

- Workshop on Extractive Industries and Conflict Prevention, Oslo – Norway, 16-17 November 2015 (two speaking slots)

6. Policy influence

The Programme has pursued several strategic avenues to influence policy at the international, regional, country and local levels to achieve the programme aims:

- LVMM has been incorporated into Geoscience Information in Africa (GIRAF's) activities as a result of the return-to-work plans of the sponsored participants.
- The Outcome Document of the Regional Sharefair on Gender Equality in the Extractive Industries highlighted proposed policy interventions that would promote the active engagement of women in the mining of Neglected Development Minerals. The outcome document will be used for policy dialogues at the national, sub-regional and Africa regional level (see Annex N).
- Workshop participants from 8 universities who attended training events in 2015 - have started discussions on the development of University Curricula on Neglected Development Minerals and incorporated this in their return to work plans. The universities are: Divine Word University – Papua New Guinea; Eduardo Mondlane University, Mozambique; University of Dar-es-Salaam, Tanzania; University of Ibadan, Nigeria; University of Papua New Guinea; University of Queensland, Australia; University of Technology – Papua New Guinea; University of Zambia; University of Zimbabwe.

III.F RESPONDING TO IMPLEMENTATION CHALLENGES

The main implementation challenge experienced by the programme during the reporting period was a delay in the recruitment of the programme team. Following the signing of the funding agreement UNDP initiated recruitment of the four-person team. Long recruitment procedures led to the appointments only being finalised with the commencement of the Programme Manager in June 2015 and the other members of the team in late August 2015. The eight-month delay led to a slow start for the programme. A number of strategies were put in place to mitigate the situation. Other UNDP staff (Degol Hailu, Casper Sonesson, Uyanga Gankhuyag and Sofi Halling) undertook some of the tasks expected of the Programme team, including the selection of participating countries and the initiation of the background study. To ensure a rapid start to the training activities in the second half of 2015 a number of training participants were sponsored to participate in workshops organized by implementation partners (GIRAF, Regional Sharefair etc). Due



to the delays experienced the 2016 workplan has been adjusted to reflect the activities still to be implemented from 2015.

The busy end of year schedules of the programme partners also hampered the scheduling of the Second Steering Committee Meeting, which included endorsement of the selection of the six focus countries. In country programming could not proceed until the selection process was finalised, leading to a delay in the expected commencement of these activities. Turnover in the representation of the European Commission in the Programme Steering Committee as a result of restructuring in DEVCO is expected from January 2016. To facilitate a smooth transition with the incoming representatives, a staff member of DEVCO's unit C4 - Mr. Sergio Piazzardi was present at the second Steering Committee meeting as an observer.

As indicated earlier in this report, feedback was received from participants of the Inception Workshop that the term LVMM had negative connotations within countries of the ACP Group of States and the term contributed to neglect in the sector by governments and industry. After lengthy deliberations the EU, ACP Secretariat and UNDP reached consensus on a short name for the programme in November 2015: **ACP-EU Development Minerals Programme**.



PROJECT IMPLEMENTATION WORKPLAN (Activities: Original plan = ■ 2016 work plan = ■ completed = ■)

Year	Year 1 (Nov 2014 - Oct 2015)				Year 2 (Nov 2015-Oct 2016)				Year 3 (Nov 2016-Oct 2017)			
Activities	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
Reporting period	2015 Annual report 20/10/14-31/12/15				---- 2016 annual work plan ----				Planning for 2017 TBD			
Programme team recruited	■											
Select countries*	■				■							
Organize inception workshop*	■	■										
Design and conduct baseline studies*	■	■					■	■				
Conduct value chain, market analyses, and poverty and social assessments in LVMM*	■	■					■	■				
Organize consultative workshops with key stakeholders in LVMM*	■	■					■	■	■	■		
Select training participants*		■		■	■			■	■			
Conduct end-line studies**		■	■	■	■	■	■	■	■			
Organize final workshop**												■
Undertake project monitoring and review***								■	■		■	■
Prepare training reports***		■	■	■	■	■	■	■	■	■	■	■
Prepare print, audio and visual training materials***		■	■	■	■	■	■	■	■	■	■	■
Conduct translation***		■	■	■	■	■	■	■	■	■	■	■

Year	Year 1 (Nov 2014 - Oct 2015)				Year 2 (Nov 2015-Oct 2016)				Year 3 (Nov 2016-Oct 2017)				
Activities	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	
Reporting period	2015 Annual report 20/10/14-31/12/15						---- 2016 annual work plan ----			Planning for 2017 TBD			
Establish a web portal with training materials***													
Prepare and implement a communication strategy and plan***													
Output 1: Operating standards and technologies improved in mines and quarries through enhanced technical knowledge of the small-scale private sector, associations/chambers, and public institutions in mine and quarry management.													
Prepare training materials													
Deliver <u>regional</u> training on mine and quarry management (mine/quarry construction, operation and maintenance of equipment, waste management, storage of consumables and blasting materials etc.)													
Deliver <u>country</u> training on mine and quarry management													
Organize training by TOT trainees													
Organize field visits													
Provide small grants													
Output 2: Environmental, health, safety standards improved and human and labor rights better protected in artisanal and small-scale mining through enhanced technical and legal knowledge of the small-scale private sector, associations/chambers and public institutions.													
Prepare training materials													
Conduct <u>regional</u> training and sensitization on environmental, health, safety and labour standards; UN guiding principles for business and labour rights; certification schemes													

Year	Year 1 (Nov 2014 - Oct 2015)				Year 2 (Nov 2015-Oct 2016)				Year 3 (Nov 2016-Oct 2017)			
Activities	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
Reporting period	2015 Annual report 20/10/14-31/12/15				---- 2016 annual work plan ----				Planning for 2017 TBD			
Conduct <u>country</u> training and sensitization on environmental, health, safety and labour standards; UN guiding principles for business and labour rights; certification schemes		■										
Organize training by TOT trainees				■		■		■		■		
Undertake legal reviews in selected countries to improve/develop health, safety, environmental and labour standards applicable for artisanal and small scale enterprises in LVMM					■	■	■	■	■	■		
Provide small grants				■				■	■			
Output 3: Productivity, access to markets and working capital of the small-scale private sector in mining increased through enhanced entrepreneurial skills.												
Prepare training materials				■		■				■		
Conduct <u>regional</u> training on entrepreneurship skills (value – adding processes, accounting, marketing, sales promotion, purchasing, market analysis, identifying potential value chain entry points)				■		■				■		
Conduct <u>country</u> training on entrepreneurship skills (value – adding processes, accounting, marketing, sales promotion, purchasing, market analysis, identifying potential value chain entry points)				■						■		
Organize training by TOT trainees						■		■		■		■
Support development of coordination mechanisms in selected countries to support small-scale private enterprises					■	■	■	■	■	■	■	■

Year	Year 1 (Nov 2014 - Oct 2015)				Year 2 (Nov 2015-Oct 2016)				Year 3 (Nov 2016-Oct 2017)				
Activities	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	
Reporting period	2015 Annual report 20/10/14-31/12/15					---- 2016 annual work plan ----				Planning for 2017 TBD			
Provide small grants													
Output 4: Value chains in mining strengthened through networking and improved capacity of associations/chambers and public institutions to conduct market analysis and investment promotion.													
Prepare training materials													
Conduct <u>regional</u> training on market analysis and investment promotion, for domestic and external markets													
Conduct <u>country</u> training on market analysis and investment promotion, for domestic and external markets													
Organize training by TOT trainees													
Produce investor guides, handbooks, information products													
Organize technology exhibitions, business fairs, networking events													
Organize public-private consultations on improving the regulatory environment													
Undertake legal review to improve/develop regulations applicable for artisanal and small-scale enterprises in LVMM													
Provide small grants													
Output 5: Access to geo-data in mining improved through enhanced technical knowledge, capacity and coordination among public institutions.													
Prepare training materials													

Year	Year 1 (Nov 2014 - Oct 2015)				Year 2 (Nov 2015-Oct 2016)				Year 3 (Nov 2016-Oct 2017)			
Activities	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
Reporting period	2015 Annual report 20/10/14-31/12/15				---- 2016 annual work plan ----				Planning for 2017 TBD			
Conduct <u>regional</u> training on geo-data mapping												
Conduct <u>country</u> training on geo-data mapping												
Organize training by TOT trainees												
Undertake reviews and scoping studies of available geo-data in LVMM in selected countries												
Undertake an inventory of geo-data in LVMM												
Produce databases with digitized geo-data in LVMM												
Develop mechanisms to disseminate geo-data												
Provide small grants												
Output 6: Risk of conflict between miners, mining enterprises and local communities reduced by building conflict prevention, dialogue and mediation skills of miners, associations/chambers, public institutions and communities.												
Prepare training materials												
Conduct <u>regional</u> training on conflict sensitive mining – this will include: conflict risk analyses, how to set up effective grievance mechanisms, and community engagement based on free, prior and informed consent principle												
Conduct <u>country</u> level training on conflict sensitive mining – including: conflict risk analyses, how to set up effective grievance mechanisms, and community engagement based on free, prior and informed consent principle												

Year	Year 1 (Nov 2014 - Oct 2015)				Year 2 (Nov 2015-Oct 2016)				Year 3 (Nov 2016-Oct 2017)			
Activities	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
Reporting period	2015 Annual report 20/10/14-31/12/15				---- 2016 annual work plan ----				Planning for 2017 TBD			
Organize training by TOT trainees				■		■		■		■		
								■	■			
Conduct awareness on free, prior and informed consent principles in the vicinity of selected LVMM sites					■	■	■	■	■	■	■	■
								■	■			
Produce conflict sensitive community engagement toolkits based on free, prior and informed principle					■	■	■	■	■	■	■	■
								■	■			
Produce information and communication products including online and mobile technologies as part of conflict early warning and transparency platform					■	■	■	■	■	■	■	■
								■	■			
Provide small grants						■		■		■		■
									■			

Notes:

*- activities at the inception phase

**-activities at the closure phase

***-activities through-out the project implementation

Key: original plan = ■ 2016 work plan = ■ completed = ■ TBD = To Be Determined

SECTION V: FINANCIAL STATEMENTS

ACP-EU Development Minerals Programme (Ref.: FED/2014/346-851)

ANNUAL FINANCIAL REPORT (21st October 2014 – 31st December 2015)

INPUTS AND BUDGET	TOTAL €	Total Expenditure	
		Total in EURO	TOTAL in USD
Output 1: Operating standards and technologies improved in small-scale mines and quarries through enhanced technical knowledge of the small-scale private sector, associations/chambers, and public institutions in mine and quarry management.			
Inception Workshop	126,286	127,690.05	161,021.50
Baseline Studies	134,743		
Market Studies	124,455		
Social and Poverty Assessment	124,455		
Country Consultations	148,572		
Technical Experts	407,848	14,657.88	18,484.08
Regional Training	233,372		
Country Training	74,186		
Field Visits	381,371		
Country Training by TOT trainers	47,003		
Small Grants	96,429		
Knowledge Management, training materials	53,571		
Total for Output 1	1,952,290	142,347.93	179,505.58
Output 2: Environmental, health, safety standards improved and human and labour rights better protected in small-scale mining through enhanced technical and legal knowledge of the small-scale private sector, associations/chambers, and public institutions.			
Technical Experts	407,848	14,657.88	18,484.08
Regional Training	233,372	187,364.50	236,273.02
Country Training	74,186		
Country Training by TOT trainers	47,003		
Legal reviews on health, safety and environment standards	192,857		
Small Grants	96,429		
Knowledge Management, training materials	53,571		
Total for Output 2	1,105,265	202,022.38	254,757.10
Output 3: Productivity, access to markets and working capital of the small-scale private sector in low-value mining increased through enhanced entrepreneurial skills of miners.			
Technical Experts	407,848	14,657.88	18,484.09



INPUTS AND BUDGET	TOTAL €	Total Expenditure	
Regional Training	350,057	14,557.35	18,357.31
Country Training	111,279		
Country Training by TOT trainers	47,003		
Coordination Mechanisms for Public-private Dialogues	385,714		
Small Grants	96,429		
Knowledge Management, training materials	53,571		
Total Output 3	1,451,902	29,215.23	36,841.40
Output 4: Value chains in low-value mining strengthened through networking and improved capacity of associations/chambers and public institutions to conduct market analysis and investment promotion.			
Technical Experts	407,848	14,657.88	18,484.09
Regional Training	350,057		
Country Training	111,279		
Country Training by TOT trainers	47,003		
Technical Exhibitions, Consultations, Legal Review	573,600		
Small Grants	96,429		
Knowledge Management, training materials	53,571		
Total for Output 4	1,639,787	14,657.88	18,484.09
Output 5: Access to geo-data in low-value mining improved through enhanced technical knowledge, capacity and coordination of public institutions.			
Technical Experts	407,848	19,543.87	24,645.49
Regional Training	700,115	32,581.94	41,086.94
Country Training	222,558		
Country Training by TOT trainers	47,003		
Geodata Scoping, Inventory, Digitization, Dissemination Mechanisms	807,556		
Small Grants	96,429		
Knowledge Management, training materials	53,571		
Total for Output 5	2,335,079	52,125.81	65,732.43
Output 6: Risk of conflict between low-value miners, mining enterprises and local communities reduced by building conflict prevention, dialogue and mediation skills of miners, associations/chambers, public institutions and communities.			
Technical Experts	407,848	19,709.63	24,854.51
Regional Training	233,372		
Country Training	74,186		
Country Training by TOT trainers	47,003		
Conflict-sensitive Toolkits, Awareness Raising	199,029		



INPUTS AND BUDGET	TOTAL €	Total Expenditure	
Small Grants	96,429		
Knowledge Management, training materials	53,571		
Country Coordination	686,880		
Programme Manager/ CTA	615,426	170,566.54	215,090.22
Programme Specialist	435,312	128,216.80	161,685.76
Finance and Admin Assistance	235,260	34,887.90	43,994.83
Monitoring Visits and Mid-term Reviews	84,288		
Final Workshop	126,286		
Travel/Office/Utilities/Admin/Misc.	213,778	30,137.08	38,003.88
Communication costs	250,000	6,800.17	8,575.25
Total for output 6	3,758,667	390,318.12	492,204.45
Total	12,242,990	830,687.35	1,047,525.05
Administrative costs			
Administrative costs	857,010	58,148.11	73,326.75
TOTAL:	13,100,000	888,835.46	1,120,851.80
GRAND total of eligible cost of the action	EUR 888,835.46		

**EU contribution is calculated using EUR/USD exchange rate at the date of first tranche (0.793 - 1 Nov, 2014)*

Of the EUR 888,835.46 eligible expenditure presented above, 888,835.46 corresponds to the eligible expenses (as per IPSAS terminology) and there are no legal commitments currently in force between UNDP (or UNDP's implementing partners) and a third party. Data contained in this report is an extract of UNDP financial records. The accounting period for the report is an open period and data from some accounting processes may not have been processed. Variance between the Year 1 projected budget and the amount actually expended is as a result of delays in the recruitment of the programme team and full commencement of activities and the longer than anticipated selection process for the programme focus countries, which compounded country-level delivery. A high rate of delivery and expenditure has occurred subsequent to the appointment of the programme team.

Certified by:

Asan Amza
Operations Associate
ACP-EU Development Minerals Programme
Bureau for Policy and Programme Support
United Nations Development Programme

Certified by:

Daniel Franks
Chief Technical Advisor/Programme Manager
ACP-EU Development Minerals Programme
Bureau for Policy and Programme Support
United Nations Development Programme



SECTION VI: ANNEXES

- A. Inception Workshop Report
- B. Steering Committee Meeting Minutes – March 2015
- C. Steering Committee Meeting Minutes – December 2015
- D. Final report on the selection of the programme focus countries
- E. Request For Applications (RFA) – Pacific Regional Training Workshop on Environment, Community, Health and Safety
- F. Participant List – Geoscience Information in Africa Workshop, Maputo, Mozambique, 6-9 October, 2015
- G. Participant List – Regional Sharefair on Gender Equality in the Extractives Industry, 13-15 October, Nairobi, Kenya
- H. Participant List – East Africa Regional Training Workshop on Environment, Community, Health and Safety, Addis Ababa, 9-12 November, 2015
- I. Participant List – Pacific Regional Training Workshop on Environment, Community, Health and Safety, Suva, 1-4 December, 2015
- J. Draft TORs for Technical Committee
- K. Draft TORs for 6 Country Coordinators
- L. Communication and Visibility Plan
- M. Examples of online visibility of the project
- N. Outcome Document of the Regional Sharefair on Gender Equality in the Extractive Industries



ANNEX A